

#7
LYSON
3/16/03

Attorney Docket No. IMMR-020/03US (New)
IMM1P018B (Old)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Louis B. Rosenberg et al.

Serial No.: 09/934,739

Examiner: Paul A. Bell **RECEIVED**

Filed: August 22, 2001

Art Unit: 2675 **MAR 13 2003**

Confirmation No. 9387

Technology Center 2600

For: **INTERACTIONS BETWEEN SIMULATED OBJECTS WITH FORCE FEEDBACK**

Commissioner for Patents
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97(c)**

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56,
Applicant(s) hereby submits the following information in conformance with 37 C.F.R.
§§1.97 and 1.98.

- Pursuant to 37 C.F.R. §1.98, an electronic copy of each document cited in the attached Form PTO/SB/08 provided on the enclosed CD.
- No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. ____ to which the above-identified application claims priority under 35 U.S.C. §120.
- Publication(s) ____ listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to ____ application serial no. ____ and mailed on ____.
- Enclosed is a copy of a non-English publication(s) _____. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).
- Enclosed is a copy of a non-English publication(s) _____. English language publication ____ (copy enclosed) claims priority from this non-English publication.

- Enclosed is an explanation of non-English publication(s) __ for which an English translation is not available.
- Enclosed is an English translation of non-English publication(s) __ cited in the attached Form PTO/SB/08A.
- Enclosed are copies of pending patent application serial nos.

This Information Disclosure Statement is filed after the period specified in 37 C.R.R. § 1.97(b) but before the mailing of:

- a final action under 37 C.F.R. § 1.113;
- a notice of allowance under 37 C.F.R. § 1.311; or
- an action that otherwise closes prosecution in this application.

In accordance with 37 C.F.R. § 1.97(c) also enclosed is the fee under 37 C.F.R. § 1.17(p) in the amount of \$180.00.

It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

Dated: March 11, 2003

Cooley Godward LLP
One Freedom Square
Reston Town Center
11951 Freedom Drive
Reston, Virginia 20190-5656
(703) 456-8000
(703) 456-8100

By:

Respectfully submitted,
COOLEY GODWARD LLP


Philip W. Marsh
Reg. No. 46,061

Ref. No. 42,887

Please type a plus sign (+) inside this box →

MAR 11 2003

#7

PTO/SB/08A (08-00)

Substitute for form 1449A/PTO				Complete if Known	
				RECEIVED	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				M A R 1 3 2 0 0 3	
Sheet	1	of	3	Technology Center 2600	
				IMMR-020/03US (New) IMM1P018B (Old)	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code ² (if known)		
	A1	5,785,630		Bobick, et al.	07/28/1998
	A2	5,766,016		Sinclair et al.	06/16/1998
	A3	5,547,382		Yamasaki et al.	08/20/1996
	A4	5,466,213		Hogan et al.	11/14/1995
	A5	5,334,027		Wherlock	08/02/1994
	A6	5,309,140		Everett, Jr., et al.	05/03/1994
	A7	5,299,810		Pierce et al.	04/05/1994
	A8	5,275,174		Cook	01/04/1994
	A9	5,271,290		Fischer	12/21/1993
	A10	5,240,417		Smithson et al.	08/31/1993
	A11	5,212,473		Louis	05/18/1993
	A12	5,078,152		Bond et al.	01/07/1992
	A13	5,038,089		Szakaly	08/06/1991
	A14	5,035,242		Franklin et al.	07/30/1991
	A15	5,022,407		Horch et al.	06/11/1991
	A16	5,019,761		Kraft	05/28/1991
	A17	4,934,694		McIntosh	06/19/1990
	A18	4,930,770		Baker	06/05/1990
	A19	4,891,764		McIntosh	01/02/1990
	A20	4,794,392		Selinko	12/27/1988
	A21	4,713,007		Alban	12/15/1987
	A22	4,708,656		de Vries et al.	11/24/1987
	A23	4,599,070		Hladky et al.	07/08/1986
	A24	4,581,491		Boothroyd	04/08/1986
	A25	4,513,235		Acklam et al.	04/23/1985
	A26	4,236,325		Hall et al.	12/02/1980
	A27	4,160,508		Salisbury, Jr.	07/10/1979
	A28	3,911,416		Feder	10/07/1975
	A29	3,903,614		Diamond et al.	09/09/1975
	A30	3,902,687		Hightower	09/02/1975
	A31	3,623,064		Kagan	11/23/1971
	A32	3,517,446		Corlyon et al.	06/30/1970
	A33	3,497,668		Hirsch	02/24/1970
	A34	3,220,121		Cutler	11/30/1965
	A35	3,157,853		Hirsch	11/17/1964

¹ Unique citation designation number.

² See attached Kinds of U.S. Patent Documents.

#7



Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				RECEIVED	
Sheet	2	of	3	Application Number	09/934,739 MAR 1 3 2003
				Filing Date	August 22, 2001
				First Named Inventor	Louis B. ROSENBERG
				Group Art Unit	2675 Technology Center 2600
				Examiner Name	Bell, Paul A.
				Attorney Docket Number	IMMR-020/03US (New) IMM1P018B (Old)

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T ⁴
		Office ¹	Number ²	Kind Code ³ (if known)			
	B1		0 349 086	A1	Stork Kwant B.V.	01/03/1990	
	B2		WO 95/33459	A1	EXOS, Inc.	11/30/1995	

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS								
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.						T ²
	C1	Braigie, "Electric Control Loading – A Low Cost, High Performance Alternative," <i>Proceedings of Interservice/Industry Training Systems Conference</i> , pp. 247-254, November 6-8, 1990						
	C2	Iwata, "Pen-based Haptic Virtual Environment," 0-7803-1363-1/93 IEEE, pp. 287-292, 1993						
	C3	Russo, "The Design and Implementation of a Three Degree of Freedom Force Output Joystick," <i>MIT Libraries Archives</i> pp. 1-131, May 1990, archived 8/14/90						
	C4	Brooks et al., "Hand Controllers for Teleoperation - A State-of-the-Art Technology Survey and Evaluation," <i>JPL Publication 85-11</i> , NASA-CR-175890; N85-28559, pp. 1-84, 03/1/1985						
	C5	Jones et al., "A perceptual analysis of stiffness," ISSN 0014-4819 Springer International (Springer-Verlag); <i>Experimental Brain Research</i> , Vol. 79, No. 1, pp. 150-156, 1990						
	C6	Burdea et al., "Distributed Virtual Force Feedback, Lecture Notes for Workshop on Force Display in Virtual Environments and its Application to Robotic Teleoperation," <i>1993 IEEE International Conference on Robotics and Automation</i> , pp. 25-44, 05/02/1993						
	C7	Snow et al., 'Model-X Force-Reflecting-Hand-Controller,' NT Control No. NPO-17851; JPL Case No. 7348, pp. 1-4 with 45 pages of attachments, 06/15/1989						
	C8	Ouh-Young, "Force Display in Molecular Docking," Doctoral Dissertation, University of North Carolina at Chapel Hill, UMI Order No. 9034744, p. 1-369, 1990						
	C9	Tadros, "Control System Design for a Three Degree of Freedom Virtual Environment Simulator Using Motor/Brake Pair Actuators," <i>MIT Archive</i> , pp. 1-88, February 1990, archived 8/13/90						
	C10	Caldwell et al., "Enhanced Tactile Feedback (Tele-Taction) Using a Multi-Functional Sensory System," 1050-4729/93, pp. 955-960, 1993						
	C11	Adelstein et al., "Design and Implementation of a Force Reflecting Manipulandum for Manual Control research," DSC-Vol. 42, <i>Advances in Robotics</i> , pp. 1-12, 1992						
	C12	Gotow et al., "Controlled Impedance Test Apparatus for Studying Human Interpretation of Kinesthetic Feedback," WA11-11:00, pp. 332-337						
	C13	Stanley et al., "Computer Simulation of Interacting Dynamic Mechanical Systems Using Distributed Memory Parallel Processors," DSC-Vol. 42, <i>Advances in Robotics</i> , pp. 55-61, ASME 1992						
	C14	Russo, "Controlling Dissipative Magnetic Particle Brakes in Force Reflective Devices," DSC-Vol. 42, <i>Advances in Robotics</i> , pp. 63-70, ASME 1992						
	C15	Kontarinis et al., "Display of High-Frequency Tactile Information to Teleoperators," <i>Telemanipulator Technology and Space Telerobotics</i> , Won S. Kim, Editor, Proc. SPIE Vol. 2057, pp. 40-50, Sep. 7-9, 1993						
	C16	Patrick et al., "Design and Testing of A Non-reactive, Fingertip, Tactile Display for Interaction with Remote Environments," <i>Cooperative Intelligent Robotics in Space</i> , Rui J. deFigueiredo et al, Editor, Proc. SPIE Vol. 1387, pp. 215-222, 1990						
	C17	Adelstein, "A Virtual Environment System For The Study of Human Arm Tremor," <i>Ph.D. Dissertation</i> , Dept. of Mechanical Engineering, MIT, June 1989, archived 3/13/90						
	C18	Bejczy, "Sensors, Controls, and Man-Machine Interface for Advanced Teleoperation," <i>Science</i> , Vol. 208, No. 4450, pp. 1327-1335, 1980						
	C19	Bejczy et al., "Generalization of Bilateral Force-Reflecting Control of Manipulators," <i>Proceedings Of Fourth CISMM-IFTOMM</i> , Sep. 8-12, 1981						

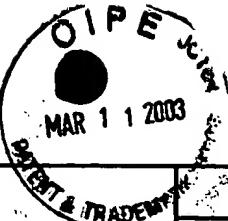
¹ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

² For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

³ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

⁴ Applicant is to place a check mark here if English language Translation is attached.

#7



Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				<i>Complete if Known</i>	
				RECEIVED	
				Application Number	09/934,739
				Filing Date	August 22, 2001
				First Named Inventor	Louis B. ROSENBERG
				Group Art Unit	2675
				Examiner Name	Bell, Paul A.
Sheet	3	of	3	Attorney Docket Number	IMMR-020/03US (New) IMM1P018B (Old)

C20	McAfee et al., "Teleoperator Subsystem/Telerobot Demonstrator: Force Reflecting Hand Controller Equipment Manual," <i>JPL 1988, JPL D-5172</i>	
C21	Minsky, "Computational Haptics: The Sandpaper System for Synthesizing Texture for a Force-Feedback Display," <i>Ph.D. Dissertation, MIT, June 1995</i> , archived 7/6/95	
C22	Jacobsen et al., "High Performance, Dextrous Telerobotic Manipulator With Force Reflection," <i>Intervention/ROV '91 Conference & Exposition</i> , Hollywood, Florida, May 21-23, 1991	
C23	Shimoga, "Finger Force and Touch Feedback Issues in Dexterous Telemanipulation," <i>Proceedings of Fourth Annual Conference on Intelligent Robotic Systems for Space Exploration</i> , Rensselaer Polytechnic Institute, Sep. 30- Oct. 1, 1992	
C24	IBM Technical Disclosure Bulletin, "Mouse Ball-Actuating Device With Force and Tactile Feedback," Vol. 32, No. 9B, February 1990	
C25	Terry et al., "Tactile Feedback In A Computer Mouse," <i>Proceedings of Fourteenth Annual Northeast Bioengineering Conference, University of New Hampshire</i> , March 10-11, 1988	
C26	Howe, "A Force-Relating Teleoperated Hand System for the Study of Tactile Sensing in Precision Manipulation," <i>Proceedings of the 1992 IEEE International Conference on Robotics and Automation</i> , Nice, France, May 1992	
C27	Eberhardt et al., "OMAR - A Haptic display for speech perception by deaf and deaf-blind individuals," <i>IEEE Virtual Reality Annual International Symposium</i> , Seattle, WA, Sep. 18-22, 1993	
C28	Rabinowitz et al., "Multidimensional tactile displays: Identification of vibratory intensity, frequency, and contractor area," <i>Journal of The Acoustical Society of America</i> , Vol. 82, No. 4, October 1987	
C29	Bejczy et al., "Kinesthetic Coupling Between Operator and Remote Manipulator," <i>International Computer Technology Conference, The American Society of Mechanical Engineers</i> , San Francisco, CA, August 12-15, 1980	
C30	Bejczy et al., "A Laboratory Breadboard System For Dual-Arm Teleoperation," <i>SOAR '89 Workshop</i> , JSC, Houston, TX, July 25-27, 1989	
C31	Ouhyoung et al., "A Low-Cost Force Feedback Joystick and Its Use in PC Video Games," <i>IEEE Transactions on Consumer Electronics</i> , Vol. 41, No. 3, August 1995	
C32	Marcus, "Touch Feedback in Surgery," <i>Proceedings of Virtual Reality and Medicine The Cutting Edge</i> , Sep. 8-11, 1994	
C33	Bejczy, et al., "Universal Computer Control System (UCCS) For Space Telerobots," CH2413-3/87/0000/0318501.00 1987 IEEE, 1987	
C34	Ouhyoung, et al., "A Force Feedback Joystick and Its Use in PC Video Games" <i>International Conference on Consumer Electronics - Digest of Technical Papers</i> . IEEE, Conf. 14, June 07, 1995	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number.

² Applicant is to place a check mark here if English language Translation attached.